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|   |   |
|---|---|
| <b>REISSUE APPLICATION DECLARATION BY THE INVENTOR</b>  | Docket Number (Optional)<br>JP918970025US2 (RE) (8728-617 RE) |
| <p>As a below named inventor, I hereby declare that:<br/>My residence, mailing address and citizenship are stated below next to my name.<br/>I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is described and claimed in patent number <u>6,259,432</u>, granted <u>July 10, 2001</u>, and for which a reissue patent is sought on the invention entitled <u>Information Processing Apparatus for Improved Intuitive Scrolling Utilizing an Enhanced Cursor</u><br/>the specification of which</p> <p><input checked="" type="checkbox"/> is attached hereto.</p> <p><input type="checkbox"/> was filed on _____ as reissue application number _____<br/>and was amended on _____<br/>(if applicable)</p> <p>I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.<br/>I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.<br/>I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below. (Check all boxes that apply.)</p> <p><input type="checkbox"/> by reason of a defective specification or drawing.</p> <p><input checked="" type="checkbox"/> by reason of the patentee claiming more or less than he had the right to claim in the patent.</p> <p><input type="checkbox"/> by reason of other errors.</p> <p>At least one error upon which reissue is based is described below. If the reissue is a broadening reissue, such must be stated with an explanation as to the nature of the broadening:</p> <p>I intended to describe and claim a feature of my invention for enhancing data entry and data review using a "lever input device, three buttons, and a graphical user interface cooperate to activate a software program, to display software characteristics; and to scroll within a display window." Such a feature is described in the specification and shown in the drawings as filed in the original application for which '432 patent issued. (See, for example, figures 1-5 and corresponding text in the original specification). Such features were not claimed in the application and is not in the claims of the '432 patent. This deficiency arose after the filing of the original application from which the '432 patent issued and was caused by the failure of the patent attorney in appreciating the full scope of my invention.</p> |   |

[Page 1 of 2]

This collection of information is required by 37 CFR 1.176. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## (REISSUE APPLICATION DECLARATION BY THE INVENTOR, page 2)

Docket Number (Optional)  
JP919970025US2 (RE)  
(8728-617 RE)

All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant. As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith.

Name(s) Registration Number  
Daniel E. McConnell, Reg. No. 20,380; Joscelyn G. Cockburn, Reg. No. 27,068; Scott W. Reid, Reg. No. 42,098;  
I Bruce Schalkopf, Reg. No. 43,901; John D. Flynn, Reg. No. 35,137; Carlos Munoz-Rustamante, Reg. No. 51-349

Correspondence Address: Direct all communications about the application to:



Customer Number

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Code Label here

|   |                           |       |                |     |       |
|---|---------------------------|-------|----------------|-----|-------|
| <input checked="" type="checkbox"/> Firm or Individual Name | F. Chau & Associates, LLP |       |                |     |       |
| Address   | 1900 Hempstead Turnpike   |       |                |     |       |
| Address   | Suite 501                 |       |                |     |       |
| City  | East Meadow               | State | New York       | Zip | 11554 |
| Country   | United States             |       |                |     |       |
| Telephone   | (516) 357-0091            | Fax   | (516) 357-0092 |     |       |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

Full name of sole or first inventor (given name, family name)  
Satoru Yamada

|  |                      |
|--|----------------------|
| Inventor's signature   | Date                 |
| Residence<br>2-2-21 Kamimizo, Sagami-hara-shi, Kanagawa-ken, Japan       | Citizenship<br>Japan |
| Mailing Address<br>2-2-21 Kamimizo, Sagami-hara-shi, Kanagawa-ken, Japan |                      |

Full name of second joint inventor (given name, family name)  
Kazuo Tsuchiya

|   |                          |
|---|--------------------------|
| Inventor's signature <i>Kazuo Tsuchiya</i>                | Date <i>July-01-2003</i> |
| Residence<br>2-2-39-302 Shibuya-ku, Tokyo-to, Japan       | Citizenship<br>Japan     |
| Mailing Address<br>2-2-39-302 Shibuya-ku, Tokyo-to, Japan |                          |

Full name of third joint inventor (given name, family name)  
Naotaka Kato

|  |                          |
|--|--------------------------|
| Inventor's signature <i>Naotaka Kato</i>                                     | Date <i>July-01-2003</i> |
| Residence<br>3-25-1-303, Tenjin-cho, Fujisawa-shi, Kanagawa-ken              | Citizenship<br>Japan     |
| Mailing Address<br>3-25-1-303, Tenjin-cho, Fujisawa-shi, Kanagawa-ken, Japan |                          |

☐ Additional joint inventors or legal representative(s) are named on separately numbered sheets forms PTO/SB/02A or 02LR attached hereto.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re: Application for reissue of Yamada et al., U.S. Patent 6,259,432

For: **INFORMATION PROCESSING APPARATUS FOR IMPROVED INTUITIVE  
SCROLLING UTILIZING AN ENHANCED CURSOR**

**ASSENT OF ASSIGNEE TO REISSUE APPLICATION**

Mail Stop: reissue  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

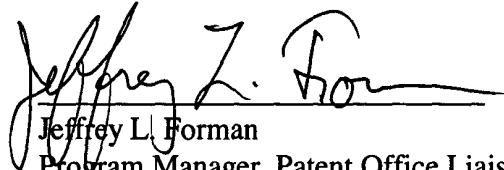
The undersigned, assignee of the entire interest in the above-mentioned letters patent, hereby assents to the accompanying application.

**STATEMENT UNDER 37 C.F.R. 3.73(b)**

International Business Machines Corporation, a corporation of New York, states that it is the assignee of the entire right, title and interest in U.S. letters patent 6,259,432 by virtue of an assignment from the inventors of the patent. The assignment was recorded in the U.S. Patent and Trademark Office on Reel 9335, Frame 0800.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Date: 30 June 2003

  
Jeffrey L. Forman  
Program Manager, Patent Office Liaison

Docket: JP9-1997-0025US2

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## REISSUE APPLICATION DECLARATION BY THE INVENTOR

Docket Number (Optional)

JP919970025US2 (RE) (8728-617 RE)

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is described and claimed in patent number 6,259,432, granted July 10, 2001, and for which a reissue patent is sought on the invention entitled Information Processing Apparatus for Improved Intuitive Scrolling Utilizing an Enhanced Cursor

the specification of which

☒ is attached hereto.☐ was filed on \_\_\_\_\_ as reissue application number \_\_\_\_\_and was amended on \_\_\_\_\_  
(if applicable)

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

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I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below. (Check all boxes that apply.)

☐ by reason of a defective specification or drawing.☒ by reason of the patentee claiming more or less than he had the right to claim in the patent.☐ by reason of other errors.

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| All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant. As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith.  |  |  |   |
| Name(s) _____ Registration Number _____<br>Daniel E. McConnell, Reg. No. 20, 380; Jocelyn G. Cockburn, Reg. No. 27,069; Scott W. Reid, Reg. No. 42,098;<br>J. Bruce Schalkopf, Reg. No. 43,901; John D. Flynn, Reg. No. 35,137; Carlos Munoz-Bustamante, Reg. No. 51-349  |  |  |   |
| Correspondence Address: Direct all communications about the application to:   |  |  |   |
| <input type="checkbox"/> Customer Number  | <div style="border: 1px solid black; width: 200px; height: 20px;"></div> |  | Place Customer Number Bar Code Label here |
| Type Customer Number here   |  |  |   |
| <input checked="" type="checkbox"/> Firm or Individual Name   | F. Chau & Associates, LLP  |  |   |
| Address   | 1900 Hempstead Turnpike  |  |   |
| Address   | Suite 501  |  |   |
| City  | East Meadow  | State  | New York Zip 11554                        |
| Country   | United States  |  |   |
| Telephone   | (516) 357-0091   | Fax  | (516) 357-0092                            |
| I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed. |  |  |   |
| Full name of sole or first inventor (given name, family name)<br>Satoru Yamada  |  |  |   |
| Inventor's signature <i>Satoru Yamada</i>   |  | Date <i>July 2, 2003</i>   |   |
| Residence<br>2-2-21 Kamimizo, Sagami-hara-shi, Kanagawa-ken, Japan  |  | Citizenship<br>Japan   |   |
| Mailing Address<br>2-2-21 Kamimizo, Sagami-hara-shi, Kanagawa-ken, Japan  |  |  |   |
| Full name of second joint inventor (given name, family name)<br>Kazuo Tsuchiya  |  |  |   |
| Inventor's signature  |  | Date   |   |
| Residence<br>2-2-39-302 Shibuya-ku, Tokyo-to, Japan   |  | Citizenship<br>Japan   |   |
| Mailing Address<br>2-2-39-302 Shibuya-ku, Tokyo-to, Japan   |  |  |   |
| Full name of third joint inventor (given name, family name)<br>Naotaka Kato   |  |  |   |
| Inventor's signature  |  | Date   |   |
| Residence<br>3-25-1-303, Tenjin-cho, Fujisawa-shi, Kanagawa-ken   |  | Citizenship<br>Japan   |   |
| Mailing Address<br>3-25-1-303, Tenjin-cho, Fujisawa-shi, Kanagawa-ken, Japan  |  |  |   |
| <input type="checkbox"/> Additional joint inventors or legal representative(s) are named on separately numbered sheets forms PTO/SB/02A or 02LR attached hereto.  |  |  |   |

## LETTER OF AUTHORITY

I, Gerald Rosenthal, Vice President, Intellectual Property and Licensing of International Business Machines Corporation (IBM), a New York corporation, do hereby delegate the authority to approve and execute documents on behalf of IBM relating to proceedings in the Patent, Trademark Registration or Copyright Offices servicing any country or region of the world, or to related appeal proceedings, including, but not limited to: petitions; powers of Attorney; authorizations; verification; nominations of representatives; declarations; documents relating to maintenance and defense of the resulting industrial property rights; assignments of rights to apply for and acquire patents and trademark registrations; and evidence of such assignments; request for the registration of patents as available for licensing; reports of inventions and petitions for waiver of patent rights to any department or agency of the United States Government; and, assignments, licenses and other instruments confirmatory of Government rights in patents and inventions, to Jeffrey L. Forman, Program Manager, Patent Office Liaison.

Date:

Aug 4, 2000



Gerald Rosenthal  
Vice-President, Intellectual Property & Licensing  
International Business Machines Corporation